

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 09/932,986
Applicant : Mark S.F. Clarke et al.
Filed : August 21, 2001
TC/A.U. : 1754
Examiner : Lish, P.
Confirmation No. : 6829
Docket No. : USRA-SWCNT-VI
Title : Production of Stable Aqueous Dispersions of Carbon Nanotubes

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §1.97(b)**

Pursuant to the duty imposed by 37 C.F.R. §1.56 to disclose information which may be material to the patentability of the above-identified patent application, the Applicants would like to direct the Examiner's attention to the documents listed on the enclosed Information Disclosure Citation Form (PTO/SB/08). In accordance with this duty of disclosure, Applicants hereby submits the following information in conformance with 37 C.F.R. §§1.97 and 1.98.

- Pursuant to 37 C.F.R. §1.98, a copy of each document cited in the attached Form PTO/SB/08 is enclosed.
- The subject Information Disclosure Statement is being filed without copies of the listed U.S. Patents and/or U.S. patent application publications pursuant to the waiver of the requirement under 37 C.F.R. §1.98(a)(2)(i) for applications filed after June 30, 2003. Copies of the listed foreign patent documents and/or non-patent literature are enclosed herewith.
- No copies of the publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously cited by or submitted to the Office in prior Application Serial No. _____ to which the above-identified application claims priority under 35 U.S.C. §120.

- Publication(s) _____ listed on the attached Form PTO/SB/08A were cited in a foreign search or examination report corresponding to _____ application serial no. _____ and mailed on _____.
- Enclosed is a copy of a non-English publication(s) _____. Pursuant to §609 of the M.P.E.P., Applicant submits the attached foreign search or examination report, which cites such non-English language publication(s).
- Enclosed is a copy of a non-English publication(s) _____. English language publication _____ (copy enclosed) claims priority from this non-English publication.
- Enclosed is an explanation of non-English publication(s) _____ for which an English translation is not available.
- Enclosed is an English translation of non-English publication(s) _____ cited in the attached Form PTO/SB/08A.
- Enclosed is a copy of pending patent Application Serial No. _____.

This Information Disclosure Statement is filed within any one of the following time periods:

- within three months from the filing date of this national application other than a CPA under 37 C.F.R. § 1.53(d);
- within three months from the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in this international application;
- before the mailing date of a first office action on the merits; or
- before the mailing of a first office action after the filing of a request for continued examination under 37 C.F.R. § 1.114.

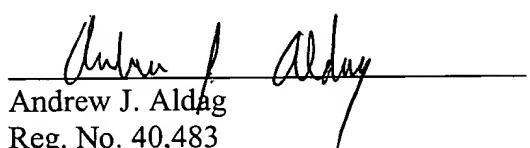
It is respectfully requested that the Examiner consider the above-noted information and return an initialed copy of the attached Form PTO/SB/08A to the undersigned.

Dated: August 2, 2004

EDELL, SHAPIRO & FINNAN, LLC
1901 Research Boulevard, Suite 400
Rockville, MD 20850
(301) 424-3640

By:

Respectfully submitted by
EDELL, SHAPIRO & FINNAN, LLC


Andrew J. Aldag
Reg. No. 40,483

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PTO/SB/08A (08-00)

Substitute for form 1449A/PTO				<i>TRADEMARK</i>		<i>Complete if Known</i>															
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				<table border="1"> <tr> <td>Application Number</td> <td>09/932,986</td> </tr> <tr> <td>Filing Date</td> <td>August 21, 2001</td> </tr> <tr> <td>First Named Inventor</td> <td>Mark S.F. Clarke et al.</td> </tr> <tr> <td>Group Art Unit</td> <td>1754</td> </tr> <tr> <td>Examiner Name</td> <td>LISH, P.</td> </tr> <tr> <td colspan="2">Attorney Docket Number</td> </tr> <tr> <td colspan="2">USRA-SWCNT-VI</td> </tr> </table>				Application Number	09/932,986	Filing Date	August 21, 2001	First Named Inventor	Mark S.F. Clarke et al.	Group Art Unit	1754	Examiner Name	LISH, P.	Attorney Docket Number		USRA-SWCNT-VI	
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U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
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¹ Unique citation designation number.

² See attached Kinds of U.S. Patent Documents

³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

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6 Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/08A (08-00)

Substitute for form 1449B/PTO				<i>Complete if Known</i>	
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OTHER DOCUMENTS – NON PATENT LITERATURE DOCUMENTS

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